

Rev. 04/01

Docket No. FNC-002 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Poindexter et al.  
Application No.: 09/989,833 Confirmation No. 2178  
Filed: : November 19, 2001  
For: : SYSTEM FOR ENTERPRISE-WIDE WORK FLOW  
AUTOMATION  
Group Art Unit : 3723

Hon. Commissioner  
for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

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TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This  
Statement is submitted:

- ☐ within three months of the application filing  
date;
- ☒ more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account

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No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants hereby make the following documents of record in  
the above identified application: \*

U.S. Patent Documents

6,073,109	06/2000	Flores et al.
6,041,306	03/2000	Du et al.
6,014,673	01/2000	Davis et al.
6,006,193	12/1999	Gibson et al.
5,991,733	11/1999	Aleia et al.
5,960,404	07/1999	Chaar et al.
5,940,804	08/1999	Turley et al.
5,931,917	08/1999	Nguyen et al.

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\* Applicants reserve the right to challenge the status of any  
of the cited documents as prior art.

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5,920,725	07/1999	Ma et al.
5,881,238	03/1999	Aman et al.
5,870,545	02/1999	Davis et al.
5,864,679	01/1999	Kanai et al.
5,819,092	10/1998	Ferguson et al.
5,745,901	04/1998	Entner et al.
5,710,921	01/1998	Hirose
5,680,548	10/1997	Trugman
5,634,127	05/1997	Cloud et al.
5,321,841	06/1994	East et al.
5,216,603	06/1993	Flores et al.
5,155,858	10/1992	DeBruler et al.
5,125,075	06/1992	Goodale et al.
5,113,393	05/1992	Kam et al.
4,932,026	06/1990	Dev et al.
4,754,428	06/1988	Schultz et al.
4,713,780	12/1987	Schultz et al.
4,648,061	03/1987	Foster
4,532,588	07/1985	Foster
4,503,499	03/1985	Mason et al.

#### Foreign Patent Documents

0 793 184 A2	09/1997	Europe
0 457 684 A2	11/1991	Europe

#### Other Documents

Compton, M.M. et al., "Intelligent Validation and Routing of Electronic Forms in a Distributed Workflow Environment," Proceedings of the Tenth Conference on Artificial Intelligence for Applications, 125-131 (1994).

Herschmann, D., "The Effects of Practical Business Constraints on User Interface Design," Human Factors in Computing Systems, CHI '95 Conference Proceedings, 531-537 (1995).

Schildt, Herbert, Using Turbo C++ (1990).

Tsichritzis, D., Office Automation, Springer-Verlag Berlin Heidelberg (Tsichritzis ed.), pp. 379-398, 1985.

User Manual, Getting Started with Visual WorkFlo, Release 1.0, Part No. 6576-105, FileNet Corporation, Costa Mesa, California (December 1994).

In accordance with 37 C.F.R. § 1.98 (d), copies of the above-cited documents, all of which were made of record in U.S. Patent Application No. 08/899,408, from which priority is claimed under 35 U.S.C. § 120, are not submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

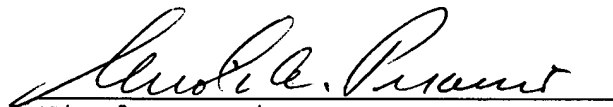
Respectfully submitted,

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Matt Carotto

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. FNC-002 CON	SERIAL NO. 09/989,833
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Poindexter et al.	
		FILING DATE November 19, 2001	GROUP 3723

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,073,109	06/2000	Flores et al.			
	6,041,306	03/2000	Du et al.			
	6,014,673	01/2000	Davis et al.			
	6,006,193	12/1999	Gibson et al.			
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	4,648,061	03/1987	Foster			
	4,532,588	07/1985	Foster			
	4,503,499	03/1985	Mason et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

